

## PRIVATE AND CONFIDENTIAL

## Client financial background information

Client: \_\_\_\_\_ SAFEX account code: \_\_\_\_\_

Have you read and understood the SAFEX client agreement: 

Please indicate your age: \_\_\_\_\_

Do you depend on regular income from this investment: Would an adverse outcome on this investment be disastrous to your financial position: 

Do you presently owe/own any of the following:

Mortgage on property Consumer short term financing Property Cash Shares Unit trusts 

What proportion of your monthly income is spent on debt repayments: \_\_\_\_\_

Describe your knowledge of derivative markets: \_\_\_\_\_ (poor / fair / good)

Did your dealer explain to you the derivatives products offered by BSEC Derivatives: 

Do you understand the risks involved with these products? \_\_\_\_\_ (yes / no)

Have you in the past participated in any of the following?

Investing in unit trusts Investing in shares directly Traded in Futures or options or warrants Did you read and do you understand Annexure A (Risk Disclosure Statement) in the SAFEX client agreement? Futures and options are high risk instruments, considering this risk, as well as your personal financial position, do you believe this investment to be suitable to you? 

Describe your objective with this investment:

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Date: \_\_\_\_\_ Signature: Client \_\_\_\_\_ BQS \_\_\_\_\_

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